

# INDUSTRY AWARDS

## 2020 MMI/Barron's Industry Awards – FINALISTS BY CATEGORY

**Digital Innovation:** *honoring a new technological innovation (or specific enhancement to an existing platform or tool) that enhances the investor or advisor experience with advisory solutions*

Advisor Credit Exchange – The Advisor Credit Exchange  
Envestnet – Envestnet Connect  
Morgan Stanley – Goals Planning System

**“Doing Good”:** *honoring programs that support a deserving cause or give back to the communities we serve*

Community Capital Management – COVID-19 Relief Initiative  
John Hancock – John Hancock | MLK Scholars Program  
MyVest – MyVest Summer of Giving  
RFG Advisory – StrongHER Money

**Social Justice:** *Honoring exemplary commitment and progress in embracing and advocating social justice in the investment advisory solutions industry*

Advisor Group – Women Forward Enhancements  
Morgan Stanley – Institute of Inclusion  
Raymond James – Diversity & Inclusion; Pledge to the Black Community

**Sustainable & Impact Investing:** *honoring programs that support awareness and adoption of sustainable and ESG investing*

Community Capital Management – Minority Community Advancement Racial Empowerment Strategy (Minority CARES)  
Envestnet – Impact@ENV  
Schroders - SustainEx

**Distribution Excellence:** *honoring firms that provide exemplary and innovative advisor sales support both in the field and from the home office*

Bank of America Merrill Lynch – Investment Manager Model Portfolios  
Clark Capital – Live from Philly: New Asset Growth Through a Crisis  
Nuveen – Distribution Model Reorganization  
Primerica – Advisor and Sponsor Sales Support

**Thought Leadership/Education:** *honoring new programs, research, educational campaigns, or content that meaningfully advance industry knowledge and understanding of topics key to the future of advisory solutions*

ARK Invest – Disruptive Innovation Research  
Carillon Tower Advisers – Wealth in Transition Retaining Multigenerational Assets  
INVESCO – Global Consulting – “What Matters Most Right Now”

**Disruption:** *honoring a groundbreaking new product, technology, or process that will fundamentally alter the future delivery of advisory solutions*

American Funds – North American Distribution Intelligence Augmentation  
Bank of America Merrill Lynch – Digital Wealth Overview  
FLX Distribution – FLX Distribution  
Proteus – Proteus Platform

**Wealth Manager Platform of the Year:** *honoring a wealth manager platform that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Advisor Group – Advisor Group UMA  
LPL Financial – Model Wealth Portfolios  
Morgan Stanley – Advisory Platform Expansion Efforts

**Asset Manager of the Year (AUM of \$10 billion or less):** *honoring a smaller asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Calvert Impact Capital – Community Investment Note and Syndications Services  
Community Capital Management – Community Capital Management  
Just Invest – Tax-Aware Household Rebalancing

**Asset Manager of the Year (AUM between \$10-\$50 billion):** *honoring a mid-size asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors*

ARK Invest – Disruptive Innovation Funds, Including ARK ETF Suite  
Clark Capital – Helping Advisors Attract and Retain HNW Advisors Through a Crisis  
Russell Investments – Tax-Managed Investing & Helping Advisors

**Asset Manager of the Year (AUM of more than \$50 billion):** *honoring a larger asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Columbia Threadneedle Investments – Client Focus  
JP Morgan – JP Morgan Hedged Equity Fund  
Putnam Investments – Client Acquisition using LinkedIn and the Ultra-Short FI Sales  
T. Rowe Price – T. Rowe Price Active ETFs

**Solutions Provider of the Year:** *honoring a solutions provider (technology or service provider) that exemplifies innovation in delivering better outcomes for investors and financial advisors*

Envestnet – MoneyGuideElite  
iCapital Network – Alternative Investing Industry Infrastructure  
Refinitiv – Refinitiv Workspace for Wealth Advisors  
Tegra118 – Tegra118 Wealth Platform